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ATTORNEY/DOCKET NO.: 20555/1203433-US1

DATE: October 26, 2005

## TELECOPIER TRANSMISSION COVER SHEET

NUMBER TRANSMITTING TO: 571-273-8300

TO: UNITED STATES PATENT AND TRADEMARK OFFICE  
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EXAMINER: NOT YET ASSIGNED

ART UNIT: 1645

FROM: MARIE COLLAZO

PAPER(S) BEING TRANSMITTED:  
REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND  
CHANGE OF CORRESPONDENCE ADDRESS (1 PAGE);  
STATEMENT UNDER 37 CFR 3.73(B) (1 PAGE);  
ASSIGNMENT (1 PAGE)  
ASSIGNMENT OF PATENT AND PATENT RIGHTS, ACCEPTANCE BY ASSIGNEE,  
NOTARY SIGNATURE AND EXHIBIT A (8 PAGES)

NO. OF PAGES (INCLUDING COVER SHEET): 12

COMPLETED

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## CERTIFICATION OF FACSIMILE TRANSMISSION

Serial No.: 09/731,899 ✓

Confirmation No.: \_

Filed: December 8, 2000

I hereby certify that these paper are being facsimile transferred to the United States Patent and  
Trademark Office on the date shown below.Marie Collazo  
NameOctober 26, 2005  
Date

Signature

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PTO/SB/02 (04-05)

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<b>REVOCATION OF POWER OF ATTORNEY WITH NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS</b>	Application Number	09/731,899
	Filing Date	December 8, 2000
	First Named Inventor	Benjamin Chain
	Art Unit	1645
	Examiner Name	Not Yet Assigned
	Attorney Docket Number	20555/1203433-US1

I hereby revoke all previous powers of attorney given in the above-identified application.

☐ A Power of Attorney is submitted herewith.

OR

☒ I hereby appoint the practitioners associated with the Customer Number: 07278

☒ Please change the correspondence address for the above-identified application to:

☒ The address associated with  
Customer Number:

07278

OR

☐ Firm or  
Individual Name **DARBY & DARBY P.C.  
S. Peter Ludwig**

Address **P.O. Box 5257**

City **New York**

Country **US**

State **NY**

Zip

**10150-5257**

Telephone **(212) 527-7700**

Email

I am the:

☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71.  
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96)

**SIGNATURE of Applicant or Assignee of Record**

Signature

*Daniel Chain*

Name

**Daniel G. Chain**

Date

**26 Oct 2005**

Telephone

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of 1 forms are submitted.

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PTO/SB/96 (09-04)

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**STATEMENT UNDER 37 CFR 3.73(b)**Applicant/Patent Owner: Benjamin ChainApplication No./Patent No.: 09/731,899 Filed/Issue Date: December 8, 2000Entitled: CHIMERIC PEPTIDES AS IMMUNOGENS, ANTIBODIES THERETO, AND METHODS FOR IMMUNIZATION USING CHIMERIC PEPTIDES OR ANTIBODIESIntellect Neurosciences Inc., a Corporation  
(Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.  
The extent (by percentage) of its ownership interest is \_\_\_\_\_ %

In the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Chain, Benjamin To: Mindset Biopharmaceuticals (USA), Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
2. From: Mindset Biopharmaceuticals (USA), Inc. To: Intellect Neurosciences Inc.  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.
3. From: \_\_\_\_\_ To: \_\_\_\_\_  
The document was recorded in the United States Patent and Trademark Office at Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.  
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Daniel Chain  
SignatureOctober 25, 2005  
DateDaniel G. Chain  
Printed or Typed Name\_\_\_\_\_  
Telephone NumberChairman and Chief Executive Officer  
Title

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# ASSIGNMENT

(1-5) *Insert Name(s) of Inventors*(1) Benjamin CHAIN

(2) \_\_\_\_\_

(3) \_\_\_\_\_

(4) \_\_\_\_\_

(5) \_\_\_\_\_

In consideration of the sum of ten dollars (\$10.00) and other good and valuable considerations paid to each of the undersigned, the receipt of which is hereby acknowledged, each of the undersigned agrees to assign, and hereby does assign, and not over to

(6) *Insert Name of Assignee*(6) MINDSET BIOPHARMACEUTICALS (USA), INC.(7) *Insert Address of Assignee*(7) 1450 Broadway, 41<sup>st</sup> FloorNew York, NY 10018

(hereinafter designated as the Assignee) the entire right, title and interest owned by the undersigned for the United States, its territories, dependencies and possessions, in the invention known as

(8) *Insert Identification of Invention, such as Title, Case Number or Foreign Application Number*(8) CHIMERIC PEPTIDES AS IMMUNOGENS, ANTIBODIES THEREOF, AND METHODS FOR IMMUNIZATION USING CHIMERIC PEPTIDES OR ANTIBODIES

for which the undersigned has filed or will file a provisional application, or for which undersigned has executed a non-provisional application for patent in the United States of America

(9) *Insert Date of Signing of Application*

(9) on \_\_\_\_\_

(10) *Alternative Identification for Filed Applications*(10) U.S. Application Number 09/731,699Filed December 3, 2000

The undersigned further agrees to assign, and hereby does assign, transfer and set over to Assignee all provisional and non-provisional applications for patent and any original and reissued Letters Patents granted for said invention and all divisions and continuations of said applications, including the subject matter of any and all claims which may be obtained in every such patent and the right, where each right can be legally exercised, in his name to apply for and obtain patents in countries foreign to the United States, including the full right to claim for any such application the benefit of the International Convention as fully and entirely as he or she could have done if the application had been filed in his or her name; and the entire interest in any Letters Patents which may be granted on any such applications in such foreign countries; and he or she does authorize and request the Commissioner of Patents and Trademarks of the United States, and any official of any country or countries foreign to the United States whose duty it is to issue patents on applications as aforesaid, to issue the said Letters Patents to the said Assignee, its successors, assigns, nominees or other legal representatives, as Assignee and owner of the said entire interest; and he or she does covenant that he or she has full right to convey the said entire interest herein assigned and that he or she has not executed and will not execute any agreement in conflict herewith; and he or she agrees that he or she will communicate to said Assignee, its successors, assigns, nominees or other legal representatives, all facts known to him or her respecting said inventions, whenever requested, and testify in any legal proceeding, sign all lawful papers, execute and deliver all divisional, continuing, reissue and foreign applications, or the procuring thereof, and also to execute separate assignments of the applications for such divisional, continuing, reissue or foreign applications, or the procuring thereof, and also to execute separate assignments of the invention hereby transferred; and that if and when said Assignee, its successors, assigns, nominees or other legal representatives desire to file a disclaimer relating thereto, he or she will, upon request, sign and deliver all lawful papers requisite for the filing of such disclaimer; and he or she further covenants and agrees that he or she will at any time, upon request, do everything possible to aid said Assignee, its successors, assigns, nominees or other legal representatives either in his or her or Assignee's own name, to apply for, obtain and enforce proper patent protection for said inventions in all countries, according to the International Convention of 1883 and all the laws and treaties in force, all without further consideration but at the expense of said Assignee, its successors, assigns, nominees or other legal representatives.

The undersigned hereby grants the firm of Darby and Nelmark the power to insert on this assignment any further identification which may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recording of this document.

This Assignment shall be binding upon the heirs, executors, administrators, and/or assigns of each of the undersigned, and shall inure to the benefit of the heirs, executors, administrators, successors and/or assigns of the Assignee.

In witness whereof, executed by each of the undersigned on the date opposite the name of the undersigned.

(1) Date 6-3-01 Signature of Inventor B. Chain  
 (2) Date \_\_\_\_\_ Signature of Inventor \_\_\_\_\_  
 (3) Date \_\_\_\_\_ Signature of Inventor \_\_\_\_\_  
 (4) Date \_\_\_\_\_ Signature of Inventor \_\_\_\_\_

Before me personally appeared \_\_\_\_\_

(Name of Inventors Signing This Document)

to me known to be assignor(s) described above, who subscribed the foregoing instrument to my presence this \_\_\_\_\_ day of \_\_\_\_\_, 20\_\_\_\_.

(Seal)

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### ASSIGNMENT OF PATENT AND PATENT RIGHTS

WHEREAS, Mindset Biopharmaceuticals (USA), Inc. (hereinafter "Assignor"), a corporation existing under the laws of the State of Delaware, having an office at 535 W. 34<sup>th</sup> Street, Suite 206A, New York, New York 10001, has certain ownership or exclusive license interests in the right, title and interest to United States Patents listed on Exhibit A hereto (the "Patents");

WHEREAS, Assignor also owns the rights for the inventions, as evidenced by its ownership of the patent applications listed on Exhibit A (the "Patent Applications") hereto filed in the United States and Trademark Office ("USPTO"), (which term shall include hereinafter where the context so admits all provisional, divisional, continuing, reissue and other patent applications based thereon), and any and all patent applications, patents and like rights of exclusion (including extensions thereof) of any country which may be granted on said Patent Applications; and

WHEREAS, Intellect Neurosciences, Inc. ("Assignee"), a Delaware corporation, having a place of business at 465 West 23<sup>rd</sup> Street, Apt. 12-J, New York, New York 10001 has an interest in inquiring right, title and interest in said Patents and Patent Applications and in obtaining an assignment thereof in a form suitable for recording in the USPTO or the appropriate patent office of the relevant foreign country.

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, Assignor does hereby assign and transfer to Assignee, its successors and assigns, for the territory of the United States of America and throughout the world, its entire right, title and interest (including the right to claim priority and including the right to sue and recover for past infringement) in and to the said Patents and Patent Applications as well as all of the rights incident to such ownership, including but not limited to manufacturing, use, sale and importation of the products and/or methods evidenced by the Patents and Patent Applications, to be held and enjoyed by the said Assignee for its own use and behalf and for its successors and assigns.

Assignor represents and warrants to Assignee that it has not previously assigned to pledged said Patents and Patent Applications, and there are not liens or encumbrances of any nature against said Patents or Patent Applications arising out of the actions of Assignor. Assignor further acknowledges that Assignee shall have no obligation whatsoever to pay any additional consideration to Assignor, including but not limited to manufacturing, use, sale and importation of the products and/or methods evidenced by the Patents and Patent Applications, to be held and enjoyed by the said Assignee for its own use and behalf and for its successors and assigns.

Assignor represents and warrants to Assignee that it has not previously assigned or pledged said Patents and Patent Applications, and there are no liens or encumbrances of any nature against said Patents and Patent Applications arising out of the actions of Assignor. Assignor further acknowledges that Assignee shall have no obligation whatsoever to pay any additional consideration to Assignor, including but not limited to royalties, by reason of this Assignment. Assignee shall be responsible for the payment of all maintenance fees and other fees necessary for the Patents and Patent Applications to be subsisting. Assignor further represents and warrants to Assignee that it is authorized to make this Assignment and that the person signing same is duly authorized and empowered to effect this Assignment.

**[The remainder of this page is intentionally left blank.]**

IN WITNESS WHEREOF, said Assignor has caused this Assignment of  
Licenses to be executed this 22nd day of June, 2005.

MINDSET BIOPHARMACEUTICALS  
(USA), INC.

Dated: June 22, 2005

By: Daniel G. Chain  
Name: Daniel G. Chain  
Title: President & CEO

**ACCEPTANCE BY ASSIGNEE**

I hereby accept this assignment on behalf of Intellect Neurosciences, Inc. I declare under penalty of perjury under the laws of the United States of America, and under penalty of the laws of any other jurisdiction before which this document may be presented, that I am an officer of Intellect Neurosciences, Inc., that I have signed this document on behalf of Intellect Neurosciences, Inc. with the full authority of its board of directors, and that all the foregoing is true and correct.

Dated:

June 22, 2005

By:

Name:

Mark Germain

Title:

VICE CHAIRMAN



Mindset Biopharmaceuticals (USA), Inc.

Date:

June 22, 2005

United States of America )

State of New York ) ss.:County of New York )

On this 22nd day of June, 2005, before me  
personally came Daniel G. Chain, to me known to be the individual  
described in and who executed the foregoing instrument, and acknowledged execution  
of the same.

Mi Huen Chan  
Notary Public  
MI-HUEN CHAN  
NOTARY PUBLIC, State of New York  
No. 31-4929585  
Qualified in New York County  
Commission Expires May 2, 2006

Witness:

June 22, 2005  
Date

Peter

Witness:

June 22, 2005  
Date

Lora Choi Apanador

Intellect Neurosciences, Inc.

Date:

June 22, 2005

United States of America )

State of

New York ) ss.:

County of

New York )

On this 22<sup>nd</sup> day of June, 2005, before me personally came Mark Germain, to me known to be the individual described in and who executed the foregoing instrument, and acknowledged execution of the same.

Mi Huen Chan

Notary Public

MI-HUEN CHAN  
NOTARY PUBLIC, State of New York  
No. 31-4929585  
Qualified in New York County  
Commission Expires May 2, 2006

Witness:

Date

June 22, 2005Peter L.

Witness:

Date

June 22, 2005Lara Choi Abanador

## EXHIBIT A

Patents and Patent Applications

NAME	PATENT TITLE	COUNTRY	PATENT APPL NO	PATENT NO	FILE/GRANT DATE
ANTISENILIN®	<i>DNA Encoding Recombinant Antibody Molecules End-Specific For Amyloid - Beta Peptides Pharmaceutical Compositions and Methods of Use Thereof</i>	USA	60/041,850	expired	4/9/1997
		USA	09/975,932	abandoned	10/15/2001
		PCT/USA	PCT/US98/06900	expired	4/9/1998
		Australia		743827	5/23/2002
		Canada	2286305		4/9/1998
		China	CN1254294	CN1177616	12/1/2004
		Europe	98918035.1		4/9/1998
		Israel	132262		4/9/1998
		Japan	543043/1998		4/9/1998
		New Zealand		337765	5/23/2002
	<i>Specific Antibodies To Amyloid Peptide Beta, Pharmaceutical Compositions and Methods of Use Thereof</i>	USA	10/084,380		2/28/2002
		PCT/USA	PCT/US02/31590	expired	10/21/2002
		Australia	2002367734		10/21/2002
		China			10/21/2002
		Europe	2807019.1		10/21/2002
		Japan	2003-572597		10/21/2002
		South Africa	2004/9186		10/21/2002
RECAL-VAX	<i>Chimeric Peptides as Immunogens Antibodies Thereto, and Methods for Immunization Using Chimeric Peptides or Antibodies</i>	USA	60/169,687	expired	12/8/1999
		USA	09/731,899		12/8/2000
		PCT	PCT/US00/33203	expired	12/8/2000
		Australia	27256/01		12/8/2000
		Canada	2393763		12/8/2000
		China	818137.3		12/8/2000
		Europe	990195		12/8/2000
		Israel	149976		12/8/2000
		Japan	2001-543601		12/8/2000
		New Zealand		519624	5/10/2004
		South Africa		2002/5032	11/26/2003

A-1

## EXHIBIT A

EP-TEST	<i>Peptides and Methods of Screening Immunogenic Peptide Vaccines Against Alzheimer's Disease in which T-Cell Epitopes are Reduced</i>	USA	10/619,454		7/16/2003
		USA	60/396,245	expired	7/17/2002
		PCT	PCT/US03/22280	expired	7/16/2003
		Australia	2003256578		7/16/2003
		Canada	2493119		7/16/2003
		Europe	3764759.1		7/16/2003